Predictive Optimal Matrix Converter Control for a Dynamic Voltage Restorer with Flywheel Energy Storage

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1. Introduction

In recent years, Flywheel Energy Storage (FES) systems have been rediscovered by industry due to their advantages in comparison with other short term energy storage systems [1], [2], [3]. FES can be designed to protect critical loads against voltage sags, by using a Permanent Magnet Synchronous Motor (PMSM) and a matrix converter to control the power transfer process between the flywheel and the critical load. This arrangement eliminates the dc link capacitor needed in conventional back-to-back inverter rectifier systems.

The predictive discrete-time control of power converters has been outlined in [4], [5], [6], [7], and recently applied to matrix converters [8].

In this chapter, using FES and PMSM, a matrix converter based Dynamic Voltage Restorer (DVR) model is used to obtain the current references to control the matrix converter (section II). A detailed discrete-time dynamic model of the matrix converter is used to predict the expected values of the input and output currents for all the 27 possible output voltage vectors generated by the matrix converter (section III). A minimization procedure, based on a weighted cost functional, selects the optimal vector that minimizes both the output current errors and the input current errors.

The predictive optimal controllers here proposed can enhance the controllability of matrix converters by optimizing the vector choice to overcome the input-output coupling of matrix converters, due to their lack of enough stored energy.

A description and characterization of an experimental kinetic energy accumulator, based on FES is also presented (section IV). The matrix based DVR simulation results are shown in section V. Results show that FES with predictive optimal matrix converter control can be used as a DVR (Fig. 1) to excel in the mitigation of voltage sags and swells as well as voltage distortion at critical loads.

2. Dynamic voltage restorer

2.1 The concept of flywheel energy storage based DVRs

Power quality problems like voltage sags, swells and harmonics are a major concern of the industrial and commercial electrical consumers due to enormous loss in terms of time and money [10]. This is due to the advent of a large number of sophisticated electrical and electronic equipments, such as computers, programmable logic controllers, variable speed drives, and other accurate control systems.

Fig. 1. Schematic diagram of a typical DVR.

The use of these equipments often requires very high quality power supplies. Some control equipments are highly sensitive to voltage disturbances, mainly voltage sags lasting several periods, which cause shut-downs and even failures. The adverse effects of voltage disturbances, such as sags and swells, dictated the need for effective mitigating devices. These devices include uninterruptible power supplies (UPS) and DVRs.

The DVR is one the most effective solutions for sags, since it only supplies the power difference between disturbed voltage and ideal voltages, not all the load power, as do UPSs. DVRs are series custom power devices, which should present excellent dynamic capabilities, to protect sensitive loads from voltage sags, swells and voltage harmonics, by inserting a compensating series voltage to restore the ideal network voltage waveform. Therefore, a DVR is basically a controlled voltage source installed in series between the supply and a sensitive load. It injects a voltage on the system in order to compensate any disturbance affecting the load voltage. Basic operating diagram of a DVR is as shown in Fig. 2, where the series voltage is inserted as the voltage on one winding of a transformer driven from the RL output filter of the matrix converter.

Fig. 2. Schematic diagram of a typical DVR.

2.2 Critical load voltage control using DVRs

To impose the compensating voltage, the needed critical load voltage components in the dq frame v_{CLd} , v_{CLq} must be controlled by acting on the matrix converter output current component references i_{sdref} , i_{sqref} , using PI controllers [11], [12].

Gains k_p and k_i are respectively proportional and integral gains, which can be calculated optimizing the resulting closed loop 2nd order system response. The reference values i_{sdref} , i_{sqref} will then be transformed into the $\alpha\beta$ frame to establish the references for a predictive current controller for the matrix converter.

Applying the Kirchhoff laws to the critical load (Fig.2) and doing some mathematical manipulations, the dynamic equations of the ac voltages $v_{CL1}(t)$, $v_{CL2}(t)$ e $v_{CL3}(t)$, are defined as functions of the circuit parameters and ac currents $i_{p1}(t)$, $i_{p2}(t)$, $i_{p3}(t)$ and $i_{L1}(t)$, $i_{L2}(t)$, $i_{L3}(t)$. The resultant state-space systems model is written in (1). The $i_{p1}=N_2/N_1 i_{s1}$, $i_{p2}=N_2/N_1 i_{s2}$ and $i_{p3}=N_2/N_1 i_{s3}$ is the transformer turns ratio. In this model, the control variables are the output currents of the matrix converter, i_{s1} , i_{s2} and i_{s3} . The currents in the critical load, i_{L1} , i_{L2} and i_{L3} are disturbances for the design of controllers.

$$\begin{bmatrix} \frac{dv_{CL1}}{dt} \\ \frac{dv_{CL2}}{dt} \\ \frac{dv_{CL3}}{dt} \end{bmatrix} = \begin{bmatrix} \frac{1}{C_L} \frac{N_2}{N_1} & 0 & 0 \\ 0 & \frac{1}{C_L} \frac{N_2}{N_1} & 0 \\ 0 & 0 & \frac{1}{C_L} \frac{N_2}{N_1} \end{bmatrix} \begin{bmatrix} i_{s1} \\ i_{s2} \\ i_{s3} \end{bmatrix} - \begin{bmatrix} \frac{1}{C_L} & 0 & 0 \\ 0 & \frac{1}{C_L} & 0 \\ 0 & 0 & \frac{1}{C_L} \end{bmatrix} \begin{bmatrix} i_{L1} \\ i_{L2} \\ i_{L3} \end{bmatrix} \quad (1)$$

To design the critical load voltage, the system model (1) can advantageously be represented in $\alpha\beta$ coordinates. The relationship between the variables X_{123} represented in system coordinates and in $\alpha\beta$ coordinates, $X_{\alpha\beta}$, is

$$X_{123} = [C]X_{\alpha\beta} \quad (2)$$

Where C is the *Concordia* transformation (3).

$$C = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & 0 & \frac{\sqrt{2}}{2} \\ -\frac{1}{2} & \frac{\sqrt{3}}{2} & \frac{\sqrt{2}}{2} \\ -\frac{1}{2} & -\frac{\sqrt{3}}{2} & \frac{\sqrt{2}}{2} \end{bmatrix} \quad (3)$$

Applying (2) and (3) to the model (1), the critical load voltage model (4) in $\alpha\beta$ coordinates is obtained (without homopolar component).

$$\begin{bmatrix} \frac{dv_{CL\alpha}}{dt} \\ \frac{dv_{CL\beta}}{dt} \end{bmatrix} = \begin{bmatrix} \frac{1}{C_L} \frac{N_2}{N_1} & 0 \\ 0 & \frac{1}{C_L} \frac{N_2}{N_1} \end{bmatrix} \begin{bmatrix} i_{s\alpha} \\ i_{s\beta} \end{bmatrix} - \begin{bmatrix} \frac{1}{C_L} & 0 \\ 0 & \frac{1}{C_L} \end{bmatrix} \begin{bmatrix} i_{L\alpha} \\ i_{L\beta} \end{bmatrix} \quad (4)$$

The relationship between the variables $X_{\alpha\beta}$ in represented in $\alpha\beta$ coordinates and in dq coordinates, X_{dq} , is given by the *Park* transformation:

$$X_{\alpha\beta} = DX_{dq} \quad (5)$$

$$D = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \quad (6)$$

The argument $\theta = \omega t$ is the angular phase of the electrical network ac line voltage. Applying the *Park* transformation (5) to the critical load voltage model (4), in dq coordinates is obtained.

$$\begin{bmatrix} \frac{dv_{CLd}}{dt} \\ \frac{dv_{CLq}}{dt} \end{bmatrix} = \begin{bmatrix} 0 & \omega \\ -\omega & 0 \end{bmatrix} \begin{bmatrix} v_{CLd} \\ v_{CLq} \end{bmatrix} + \begin{bmatrix} \frac{1}{C_L} \frac{N_2}{N_1} & 0 \\ 0 & \frac{1}{C_L} \frac{N_2}{N_1} \end{bmatrix} \begin{bmatrix} i_{sd} \\ i_{sq} \end{bmatrix} - \begin{bmatrix} \frac{1}{C_L} & 0 \\ 0 & \frac{1}{C_L} \end{bmatrix} \begin{bmatrix} i_{Ld} \\ i_{Lq} \end{bmatrix} \quad (7)$$

The mathematical model needed to control the critical load voltage at the load shunt capacitors $C_{L1}=C_{L2}=C_{L3}=C_L$ (Fig. 2), which serve as a load filter and power factor compensation, can be written in the dq frame as:

$$\frac{dv_{CLd}}{dt} = \frac{1}{C_L} \left(C_L \omega v_{CLq} + \frac{N_2}{N_1} i_{sd} - i_{Ld} \right) \quad (8)$$

$$\frac{dv_{cq}}{dt} = \frac{1}{C_L} \left(-C_L \omega v_{CLd} + \frac{N_2}{N_1} i_{sq} - i_{Lq} \right) \quad (9)$$

Where N_2/N_1 is the transformer turns ratio and i_{sd} and i_{sq} are direct and quadrature secondary current components in the transformer. This voltage dynamics is cross-coupled, but can be decoupled introducing auxiliary variables h_d, h_q given by:

$$h_d = C_L \omega v_{CLq} + \frac{N_2}{N_1} i_{sd} \quad (10)$$

$$h_q = -C_L \omega v_{CLd} + \frac{N_2}{N_1} i_{sq} \quad (11)$$

Substituting (10) and (11) in (8), (9), two isolated first order systems are obtained.

$$\frac{dv_{CLd}}{dt} = \frac{1}{C_L} (h_d - i_{Ld}) \quad (12)$$

$$\frac{dv_{CLq}}{dt} = \frac{1}{C_L} (h_q - i_{Lq}) \quad (13)$$

Then, the needed critical load voltage components v_{CLd}, v_{CLq} can be controlled by acting on the matrix converter output current component references i_{sdref}, i_{sqref} , using PI controllers (Fig. 3).

$$h_{dref} = \left(k_{pd} + \frac{k_{id}}{s} \right) e_{vCLd} \Leftrightarrow h_{dref} = \left(k_{pd} + \frac{k_{id}}{s} \right) (v_{CLdref} - v_{CLd}) \quad (14)$$

$$h_{qref} = \left(k_{pq} + \frac{k_{iq}}{s} \right) e_{vCLq} \Leftrightarrow h_{qref} = \left(k_{pq} + \frac{k_{iq}}{s} \right) (v_{CLqref} - v_{CLq}) \quad (15)$$

Substituting $i_{sd}=i_{sdref}$ and $h_d=h_{dref}$ in (10) and $i_{sq}=i_{sqref}$ and $h_q=h_{qref}$ in (11), the references i_{sdref}, i_{sqref} are obtained.

$$h_d = C_L \omega v_{CLq} + \frac{N_2}{N_1} i_{sd} \Leftrightarrow i_{sd} = \frac{N_1}{N_2} h_d - C_L \frac{N_1}{N_2} \omega v_{CLq} \xrightarrow[i_d=i_{sdref}]{h_d=h_{dref}} i_{sdref} = \frac{N_1}{N_2} h_{dref} - C_L \frac{N_1}{N_2} \omega v_{CLq} \quad (16)$$

$$h_q = -C_L \omega v_{CLd} + \frac{N_2}{N_1} i_{sq} \Leftrightarrow i_{sq} = \frac{N_1}{N_2} h_q + C_L \frac{N_1}{N_2} \omega v_{CLd} \xrightarrow[h_q=h_{qref}]{i_{sq}=i_{sqref}} i_{sqref} = \frac{N_1}{N_2} h_{qref} + C_L \frac{N_1}{N_2} \omega v_{CLd} \quad (17)$$

Substituting (14) in (16) and (15) in (17), the matrix converter output current component references i_{sdref}, i_{sqref} are given by (18) and (19).

$$i_{sdref} = \frac{N_1}{N_2} k_p (v_{CLdref} - v_{CLd}) + \frac{N_1}{N_2} k_i \int (v_{CLdref} - v_{CLd}) dt - \frac{N_1}{N_2} C_L \omega v_{CLq} \quad (18)$$

$$i_{sqref} = \frac{N_1}{N_2} k_p (v_{CLqref} - v_{CLq}) + \frac{N_1}{N_2} k_i \int (v_{CLqref} - v_{CLq}) dt + \frac{N_1}{N_2} C_L \omega v_{CLd} \quad (19)$$

Fig. 3. Block diagram of the voltage components v_{CLd} and v_{CLq} .

Gains k_{pdq} (22) and k_{idq} (23) are respectively proportional and integral gains, which can be calculated minimizing the ITAE criterion in the resulting closed loop 2nd order system (20,21), provided the zeros $-k_{idq}/k_{pdq}$ are far from the poles.

$$v_{CLd} = \frac{\frac{k_{pd}}{C_L}s + \frac{k_{id}}{C_L}}{s^2 + \frac{k_{pd}}{C_L}s + \frac{k_{id}}{C_L}} v_{CLdref} - \frac{\frac{1}{C_L}s}{s^2 + \frac{k_{pd}}{C_L}s + \frac{k_{id}}{C_L}} i_{Ld} \quad (20)$$

$$v_{CLq} = \frac{\frac{k_{pq}}{C_L}s + \frac{k_{iq}}{C_L}}{s^2 + \frac{k_{pq}}{C_L}s + \frac{k_{iq}}{C_L}} v_{CLqref} - \frac{\frac{1}{C_L}s}{s^2 + \frac{k_{pq}}{C_L}s + \frac{k_{iq}}{C_L}} i_{Lq} \quad (21)$$

$$k_{pd} = k_{pq} = 2\xi\sqrt{C_L k_{idq}} \quad (22)$$

$$k_{id} = k_{iq} = C_L \omega_n^2 \quad (23)$$

The reference values i_{sdref} , i_{sqref} will then be transformed into the $\alpha\beta$ frame to establish the references for a predictive current controller for the matrix converter.

Fig. 4. Block diagram of the reference values components i_{sdref} and i_{sqref} .

3. Predictive control of matrix converters for DVR operation

3.1 Matrix converter

Matrix converters are based upon an association of nine bi-directional switches with turn-off capability, which allow the connection of each one of the three output phases to any one of the three input phases connected to a PMSM through a rLC filter (Fig. 5).

A nine-element matrix, with elements S_{ij} representing the state of each bi-directional switch, is used to represent the matrix output voltages (v_A, v_B, v_C) as functions of the input voltages (v_a, v_b, v_c) (24).

$$\begin{bmatrix} v_A \\ v_B \\ v_C \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} & S_{13} \\ S_{21} & S_{22} & S_{23} \\ S_{31} & S_{32} & S_{33} \end{bmatrix} \begin{bmatrix} v_a \\ v_b \\ v_c \end{bmatrix} \quad (24)$$

The line to line output voltages (v_{AB}, v_{BC}, v_{CA}) are functions of the S_{ij} and of the input line to line voltages (v_{ab}, v_{bc}, v_{ca}):

$$\begin{bmatrix} v_{AB} \\ v_{BC} \\ v_{CA} \end{bmatrix} = \begin{bmatrix} \frac{2}{3}(S_{11}-S_{21})+\frac{1}{3}(S_{13}-S_{23}) & \frac{1}{3}(S_{11}-S_{21})+\frac{2}{3}(S_{12}-S_{22}) & \frac{1}{3}(S_{12}-S_{22})+\frac{2}{3}(S_{13}-S_{23}) \\ \frac{2}{3}(S_{21}-S_{31})+\frac{1}{3}(S_{23}-S_{33}) & \frac{1}{3}(S_{21}-S_{31})+\frac{2}{3}(S_{22}-S_{32}) & \frac{1}{3}(S_{22}-S_{32})+\frac{2}{3}(S_{23}-S_{33}) \\ \frac{2}{3}(S_{31}-S_{11})+\frac{1}{3}(S_{33}-S_{13}) & \frac{1}{3}(S_{31}-S_{11})+\frac{2}{3}(S_{32}-S_{12}) & \frac{1}{3}(S_{32}-S_{12})+\frac{2}{3}(S_{33}-S_{13}) \end{bmatrix} \begin{bmatrix} v_{ab} \\ v_{bc} \\ v_{ca} \end{bmatrix} \quad (25)$$

Each S_{ij} element of the 3×3 matrix represents the state of each bi-directional switch (if switch S_{ij} is off then $S_{ij}=0$, else $S_{ij}=1$).

Fig. 5. Matrix converter topology.

The 3-phase matrix converter presents 27 switching combinations, or vectors [10], since for

$$\text{all } k \in \{1,2,3\} \sum_{j=1}^3 S_{kj} = 1.$$

The input phase currents (i_a, i_b, i_c) can be related to the output phase currents (i_{s1}, i_{s2}, i_{s3}) by:

$$\begin{bmatrix} i_a \\ i_b \\ i_c \end{bmatrix} = \begin{bmatrix} S_{11} & S_{21} & S_{31} \\ S_{12} & S_{22} & S_{32} \\ S_{13} & S_{23} & S_{33} \end{bmatrix} \begin{bmatrix} i_{s1} \\ i_{s2} \\ i_{s3} \end{bmatrix} \quad (26)$$

The 27 switching combinations of the nine bi-directional switches S_{ij} (Table 1), can be used as output voltage and/or input current vectors given as functions of each S_{ij} state, meaning that the control of the matrix output voltages and matrix input rLC filter currents (Fig. 5) is not independent.

N.º	S ₁₁	S ₁₂	S ₁₃	S ₂₁	S ₂₂	S ₂₃	S ₃₁	S ₃₂	S ₃₃	v _A (t)	v _B (t)	v _C (t)
1	1	0	0	0	1	0	0	0	1	v _a (t)	v _b (t)	v _c (t)
2	0	1	0	0	0	1	1	0	0	v _b (t)	v _c (t)	v _a (t)
3	0	0	1	1	0	0	0	1	0	v _c (t)	v _a (t)	v _b (t)
4	1	0	0	0	0	1	0	1	0	v _a (t)	v _c (t)	v _b (t)
5	0	1	0	1	0	0	0	0	1	v _b (t)	v _a (t)	v _c (t)
6	0	0	1	0	1	0	1	0	0	v _c (t)	v _b (t)	v _a (t)
7	1	0	0	0	1	0	0	1	0	v _a (t)	v _b (t)	v _b (t)
8	0	1	0	1	0	0	1	0	0	v _b (t)	v _a (t)	v _a (t)
9	0	1	0	0	0	1	0	0	1	v _b (t)	v _c (t)	v _c (t)
10	0	0	1	0	1	0	0	1	0	v _c (t)	v _b (t)	v _b (t)
11	0	0	1	1	0	0	1	0	0	v _c (t)	v _a (t)	v _a (t)
12	1	0	0	0	0	1	0	0	1	v _a (t)	v _c (t)	v _c (t)
13	0	1	0	1	0	0	0	1	0	v _b (t)	v _a (t)	v _b (t)
14	1	0	0	0	1	0	1	0	0	v _a (t)	v _b (t)	v _a (t)
15	0	0	1	0	1	0	0	0	1	v _c (t)	v _b (t)	v _c (t)
16	0	1	0	0	0	1	0	1	0	v _b (t)	v _c (t)	v _b (t)
17	1	0	0	0	0	1	1	0	0	v _a (t)	v _c (t)	v _a (t)
18	0	0	1	1	0	0	0	0	1	v _c (t)	v _a (t)	v _c (t)
19	0	1	0	0	1	0	1	0	0	v _b (t)	v _b (t)	v _a (t)
20	1	0	0	1	0	0	0	1	0	v _a (t)	v _a (t)	v _b (t)
21	0	0	1	0	0	1	0	1	0	v _c (t)	v _c (t)	v _b (t)
22	0	1	0	0	1	0	0	0	1	v _b (t)	v _b (t)	v _c (t)
23	1	0	0	1	0	0	0	0	1	v _a (t)	v _a (t)	v _c (t)
24	0	0	1	0	0	1	1	0	0	v _c (t)	v _c (t)	v _a (t)
25	1	0	0	1	0	0	1	0	0	v _a (t)	v _a (t)	v _a (t)
26	0	1	0	0	1	0	0	1	0	v _b (t)	v _b (t)	v _b (t)
27	0	0	1	0	0	1	0	0	1	v _c (t)	v _c (t)	v _c (t)

Table 1. Voltages vectors generated by the matrix converter

3.2 Matrix converter input and output currents dynamic model

Supposing a balanced three-phase inductive load, the first time derivative of the matrix converter output currents (i_{s1} , i_{s2} , i_{s3}) in the $\alpha\beta$ frame (*Concordia* transformation) can be defined by (27,28), where $R_A=R_B=R_C=R$ are the parasitic resistances and $L_A=L_B=L_C=L$ are the output inductors of the matrix converter (Fig. 5), and $v_{o\alpha\beta}$ and $v_{s\alpha\beta}$ represent, respectively the line to line output voltage of the matrix converter and the $v_{p\alpha\beta}$ voltages reflected in the transformer secondary.

$$\frac{di_{s\alpha}}{dt} = -\frac{R}{L}i_{s\alpha} + \frac{1}{2L}v_{o\alpha} + \frac{\sqrt{3}}{6L}v_{o\beta} - \frac{1}{2L}v_{s\alpha} - \frac{\sqrt{3}}{6L}v_{s\beta} \quad (27)$$

$$\frac{di_{s\beta}}{dt} = -\frac{R}{L}i_{s\beta} - \frac{\sqrt{3}}{6L}v_{o\alpha} + \frac{1}{2L}v_{o\beta} + \frac{\sqrt{3}}{6L}v_{s\alpha} - \frac{1}{2L}v_{s\beta} \quad (28)$$

This dynamic equation will be used to predict the matrix converter output currents.

Assuming a 2nd order matrix converter input filter (Fig. 5) with resistances $r_a=r_b=r_c=r$, inductances $l_a=l_b=l_c=l$ and capacitors $C_a=C_b=C_c=C_Y$, the dynamics of each inductor current ($i_{l\alpha\beta}$), the dynamics of the capacitor line to line voltages ($v_{c\alpha\beta}$) and the input line to line filter voltage ($v_{ic\alpha\beta}$), in the $\alpha\beta$ frame, is given by:

$$\frac{di_{l\alpha}}{dt} = \frac{1}{l}v_{ic\alpha} - \frac{1}{2l}v_{c\alpha} - \frac{\sqrt{3}}{6l}v_{c\beta} \quad (29)$$

$$\frac{di_{l\beta}}{dt} = \frac{1}{l}v_{ic\beta} + \frac{\sqrt{3}}{6l}v_{c\alpha} - \frac{1}{2l}v_{c\beta} \quad (30)$$

$$\frac{dv_{c\alpha}}{dt} = -\frac{3}{2C_Y}i_{\alpha} + \frac{\sqrt{3}}{2C_Y}i_{\beta} + \frac{3}{2C_Y}i_{l\alpha} - \frac{\sqrt{3}}{2C_Y}i_{l\beta} - \frac{1}{C_Y}v_{c\alpha} + \frac{3}{2C_Y}v_{ic\alpha} - \frac{\sqrt{3}}{2C_Y}v_{ic\beta} \quad (31)$$

$$\frac{dv_{c\beta}}{dt} = -\frac{\sqrt{3}}{2C_Y}i_{\alpha} - \frac{3}{2C_Y}i_{\beta} + \frac{\sqrt{3}}{2C_Y}i_{l\alpha} + \frac{3}{2C_Y}i_{l\beta} - \frac{1}{C_Y}v_{c\beta} + \frac{\sqrt{3}}{2C_Y}v_{ic\alpha} + \frac{3}{2C_Y}v_{ic\beta} \quad (32)$$

$$\frac{di_{l\alpha}}{dt} = \frac{r}{l}i_{l\alpha} - \frac{r}{l}i_{l\alpha} \quad (33)$$

$$\frac{di_{l\beta}}{dt} = \frac{r}{l}i_{l\beta} - \frac{r}{l}i_{l\beta} \quad (34)$$

These dynamic equations will be used to predict the input filter currents $i_{i\alpha}$ $i_{i\beta}$.

3.3 Discrete-time prediction of matrix input and output currents

A first-order difference equation (35,36), with a sampling time T_s , equivalent to the load current differential equation (27,28), can be used to predict the expected values (Euler-Forward method) of $i_{s\alpha}$ $i_{s\beta}$ at time instant t_{s+1} , given the values of $i_{s\alpha}$ $i_{s\beta}$ at the t_s th sampling instant.

$$i_{s\alpha}(t_{s+1}) = \left(1 - \frac{RT_s}{L}\right)i_{s\alpha}(t_s) + \frac{T_s}{2L}v_{o\alpha}(t_s) + \frac{\sqrt{3}T_s}{6L}v_{o\beta}(t_s) - \frac{T_s}{2L}v_{s\alpha}(t_s) - \frac{\sqrt{3}T_s}{6L}v_{s\beta}(t_s) \quad (35)$$

$$i_{s\beta}(t_{s+1}) = \left(1 - \frac{RT_s}{L}\right)i_{s\beta}(t_s) - \frac{\sqrt{3}T_s}{6L}v_{o\alpha}(t_s) + \frac{T_s}{2L}v_{o\beta}(t_s) + \frac{\sqrt{3}T_s}{6L}v_{s\alpha}(t_s) - \frac{T_s}{2L}v_{s\beta}(t_s) \quad (36)$$

The voltages vectors $v_{o\alpha\beta}$ (37,38) can be generated by the matrix converter, and $v_{c\alpha\beta}$ represent the line to line input voltage in the $\alpha\beta$ frame (Concordia transformation).

$$v_{o\alpha}(t_{s+1}) = H_{v\alpha\alpha}(t_{s+1})v_{c\alpha}(t_s) + H_{v\alpha\beta}(t_{s+1})v_{c\beta}(t_s) \quad (37)$$

$$v_{o\beta}(t_{s+1}) = H_{v\beta\alpha}(t_{s+1})v_{c\alpha}(t_s) + H_{v\beta\beta}(t_{s+1})v_{c\beta}(t_s) \quad (38)$$

Where $H_{v\alpha\alpha}$ $H_{v\alpha\beta}$ $H_{v\beta\alpha}$ and $H_{v\beta\beta}$ is given by (39).

$$\begin{cases} H_{v\alpha\alpha} = \frac{1}{2}(S_{11} - S_{12} - S_{21} + S_{22}) \\ H_{v\alpha\beta} = \frac{\sqrt{3}}{6}(S_{11} + S_{12} - 2S_{13} - S_{21} - S_{22} + 2S_{23}) \\ H_{v\beta\alpha} = \frac{\sqrt{3}}{6}(S_{11} - S_{12} + S_{21} - S_{22} - 2S_{31} + 2S_{32}) \\ H_{v\beta\beta} = \frac{1}{6}(S_{11} + S_{12} - 2S_{13} + S_{21} + S_{22} - 2S_{23} - 2S_{31} - 2S_{32} + 4S_{33}) \end{cases} \quad (39)$$

Applying *Euler-Backward* method to the model (29,30) and (31,32), the expected values of the $i_{\alpha\beta}(t_{s+1})$ are obtained. Applying *Euler-Backward* method to the model (33,34) and replacing $i_{\alpha\beta}(t_{s+1})$, the expected values of the $i_{i\alpha\beta}(t_{s+1})$ are obtained. The discrete-time difference equations (40,41) (sampling time T_s) of the matrix input filter current dynamic equations, can be used to predict the expected values of the input filter currents at the t_{s+1} sampling instant.

$$\begin{aligned} i_{i\alpha}(t_{s+1}) = & \frac{C_Y l r}{r T_s^2 + l T_s r + C_Y l r} i_{i\alpha}(t_s) + \frac{T_s(l + T_s r)}{r T_s^2 + l T_s r + C_Y l r} i_{i\alpha}(t_{s+1}) + \frac{C_Y(l + T_s r)}{2r T_s^2 + 2l T_s r + 2C_Y l r} v_{i\alpha}(t_{s+1}) + \\ & + \frac{\sqrt{3} C_Y(l + T_s r)}{6r T_s^2 + 6l T_s r + 6C_Y l r} v_{i\beta}(t_{s+1}) - \frac{C_Y(l + T_s r)}{2r T_s^2 + 2l T_s r + 2C_Y l r} v_{\alpha}(t_s) - \frac{\sqrt{3} C_Y(l + T_s r)}{6r T_s^2 + 6l T_s r + 6C_Y l r} v_{\beta}(t_s) \end{aligned} \quad (40)$$

$$\begin{aligned} i_{i\beta}(t_{s+1}) = & \frac{C_Y l r}{r T_s^2 + l T_s r + C_Y l r} i_{i\beta}(t_s) + \frac{T_s(l + T_s r)}{r T_s^2 + l T_s r + C_Y l r} i_{i\beta}(t_{s+1}) - \frac{\sqrt{3} C_Y(l + T_s r)}{6r T_s^2 + 6l T_s r + 6C_Y l r} v_{i\alpha}(t_{s+1}) + \\ & + \frac{C_Y(l + T_s r)}{2r T_s^2 + 2l T_s r + 2C_Y l r} v_{i\beta}(t_{s+1}) + \frac{\sqrt{3} C_Y(l + T_s r)}{6r T_s^2 + 6l T_s r + 6C_Y l r} v_{\alpha}(t_s) - \frac{C_Y(l + T_s r)}{2r T_s^2 + 2l T_s r + 2C_Y l r} v_{\beta}(t_s) \end{aligned} \quad (41)$$

Where, considering (40,41), the matrix input currents $i_a(t_{s+1})$, $i_b(t_{s+1})$ and $i_c(t_{s+1})$, at the t_{s+1} sampling instant are established by equations (42), (43) and (44).

$$i_a(t_{s+1}) = S_{11}(t_{s+1})i_{s1}(t_s) + S_{21}(t_{s+1})i_{s2}(t_s) + S_{31}(t_{s+1})i_{s3}(t_s) \quad (42)$$

$$i_b(t_{s+1}) = S_{12}(t_{s+1})i_{s1}(t_s) + S_{22}(t_{s+1})i_{s2}(t_s) + S_{32}(t_{s+1})i_{s3}(t_s) \quad (43)$$

$$i_c(t_{s+1}) = S_{13}(t_{s+1})i_{s1}(t_s) + S_{23}(t_{s+1})i_{s2}(t_s) + S_{33}(t_{s+1})i_{s3}(t_s) \quad (44)$$

Applying (2) and (3) to equations (42), (43) and (44), the input currents at the t_{s+1} sampling instant, in $\alpha\beta$ coordinates is obtained (45,46).

$$i_{\alpha}(t_{s+1}) = H_{i\alpha\alpha}(t_{s+1})i_{s\alpha}(t_s) + H_{i\alpha\beta}(t_{s+1})i_{s\beta}(t_s) \quad (45)$$

$$i_{\beta}(t_{s+1}) = H_{i\beta\alpha}(t_{s+1})i_{s\alpha}(t_s) + H_{i\beta\beta}(t_{s+1})i_{s\beta}(t_s) \quad (46)$$

Where $H_{i\alpha\alpha}$, $H_{i\alpha\beta}$, $H_{i\beta\alpha}$ and $H_{i\beta\beta}$ is given by (47).

$$\begin{aligned}
H_{i\alpha\alpha} &= \frac{2}{3}S_{11} - \frac{1}{3}S_{12} - \frac{1}{3}S_{13} - \frac{1}{3}S_{21} + \frac{1}{6}S_{22} + \frac{1}{6}S_{23} - \frac{1}{3}S_{31} + \frac{1}{6}S_{32} + \frac{1}{6}S_{33} \\
H_{i\alpha\beta} &= \frac{2\sqrt{3}}{6}S_{21} - \frac{\sqrt{3}}{6}S_{22} - \frac{\sqrt{3}}{6}S_{23} - \frac{2\sqrt{3}}{6}S_{31} + \frac{\sqrt{3}}{6}S_{32} + \frac{\sqrt{3}}{6}S_{33} \\
H_{i\beta\alpha} &= \frac{2\sqrt{3}}{3}S_{12} - \frac{2\sqrt{3}}{6}S_{13} - \frac{\sqrt{3}}{6}S_{22} + \frac{\sqrt{3}}{6}S_{23} - \frac{\sqrt{3}}{6}S_{32} + \frac{\sqrt{3}}{6}S_{33} \\
H_{i\beta\beta} &= \frac{1}{2}S_{22} - \frac{1}{2}S_{23} - \frac{1}{2}S_{32} + \frac{1}{2}S_{33}
\end{aligned} \tag{47}$$

3.4 Quadratic cost functional for the matrix output current errors and matrix input power factor error

The $\alpha\beta$ errors $e_{s\alpha}$ (48) and $e_{s\beta}$ (49) of the matrix output currents $i_{s\alpha}$ and $i_{s\beta}$ are defined as differences between the current references $i_{s\alpha ref}$ and $i_{s\beta ref}$ and the actual output currents $i_{s\alpha}$ and $i_{s\beta}$.

$$e_{s\alpha}(t_{s+1}) = i_{s\alpha ref}(t_s) - i_{s\alpha}(t_{s+1}) \tag{48}$$

$$e_{s\beta}(t_{s+1}) = i_{s\beta ref}(t_s) - i_{s\beta}(t_{s+1}) \tag{49}$$

For the matrix input filter current errors, a near unity input power factor for the matrix converter is assumed. Then, the reactive power reference Q_{ref} should be zero. Therefore, the reactive power error e_Q is (50).

$$e_Q(t_{s+1}) = Q_{ref} - \left[-\frac{1}{2}v_{i\alpha}(t_{s+1})i_{i\beta}(t_{s+1}) - \frac{\sqrt{3}}{6}v_{i\beta}(t_{s+1})i_{i\beta}(t_{s+1}) - \frac{\sqrt{3}}{6}v_{i\alpha}(t_{s+1})i_{i\alpha}(t_{s+1}) + \frac{1}{2}v_{i\beta}(t_{s+1})i_{i\alpha}(t_{s+1}) \right] \tag{50}$$

The input filter voltages v_{iab} , v_{ibc} and v_{ica} at the t_{s+1} sampling instant, in $\alpha\beta$ coordinates is obtained (51,52).

$$v_{i\alpha}(t_{s+1}) = v_{i\alpha}(t_s)\cos(2\pi fT_s) - v_{i\beta}(t_s)\sin(2\pi fT_s) \tag{51}$$

$$v_{i\beta}(t_{s+1}) = v_{i\beta}(t_s)\cos(2\pi fT_s) + v_{i\alpha}(t_s)\sin(2\pi fT_s) \tag{52}$$

The cost functional to be chosen must penalize larger errors, while forgiving very small errors. Thus, the most suitable cost evaluator is a quadratic cost functional F (53), which computes the norm of the weighted matrix output current errors and input power factor error.

$$F = \sqrt{e_{s\alpha}^2(t_{s+1})k_{\alpha} + e_{s\beta}^2(t_{s+1})k_{\beta} + e_Q^2(t_{s+1})k_Q} \tag{53}$$

In the cost functional (53), k_{α} , k_{β} , k_Q are weighting constants, degrees of freedom of the predictive optimal controller. In Fig. 6 it is shown the predictive optimal matrix converter control algorithm.

Fig. 6. Predictive Optimal Matrix Converter Control Algorithm.

3.5 Predictive optimal matrix converter control

The predictive optimal matrix controller uses the discrete-time model (35, 36, 40, 41) to predict, for the next sampling instant, the values of the input and output currents and the errors for all the 27 possible vectors of the matrix converter. An optimal algorithm (Fig. 7) minimizes input and output current errors using the minimum value of the weighted cost functional (53) evaluated for all 27 vectors, to select the vector leading to that minimum cost.

Fig. 7. Block diagram of the predictive optimal matrix converter control.

4. The experimental kinetic energy storage system

4.1 The concept of flywheel

An experimental kinetic energy storage (Fig. 8, 9) was designed and built to have a moment of inertia of 4.2kgm^2 and a maximum rotating speed of 2500 rpm.

A PMSM (2.9kW, 3000rpm) was selected as the electromechanical energy transfer device. A steel seamless tube (fig. 8) was selected as a rotating mass, given its availability and ease of assembly. This part of the device is characterized by parameters which depend on material (in this case steel), and parameters and dimensions of the barrel (Fig. 8).

The volume of the steel barrel is:

$$V = 2\pi(r_2^2 - r_1^2)h = 0.0062\text{m}^3 \quad (54)$$

Where r_1 , r_2 are respectively the internal and external radius of the barrel. Its mass is:

$$m = \rho V = 70.0\text{kg} \quad (55)$$

Where $\rho = 7.8 \times 10^3 [\text{kg/m}^3]$ is the steel density.

The moment of inertia is given as:

$$J = \frac{1}{2}m(r_2^2 + r_1^2) = 4.2\text{kgm}^2 \quad (56)$$

Fig. 8. Dimension and view of the steel barrel.

Fig. 9. Flywheel energy storage prototype.

Using $r_1=250\text{mm}$, $r_2=240\text{mm}$, $m=70\text{kg}$, and $\omega_{\max}=2500 \times 2\pi/60[\text{rad/s}]$ as the maximum angular speed of the barrel, the maximum energy stored in the FES is:

$$E_{k\max} = \frac{1}{2} J \omega_{\max}^2 = 144\text{kJ} \quad (57)$$

This energy cannot be completely extracted from the FES, as the energy conversion becomes inefficient when the angular speed drops below a certain value (roughly 50% of the rated speed) [11]. Supposing this limit equals 50% of the maximum speed, the amount of useful energy is 75% of the total energy and, in this case, $0.75 \times 144[\text{kJ}] = 108[\text{kJ}]$. Given this energy, Fig. 10 relates the maximum power available from the flywheel for a given time. For example, if it is necessary to have power available during 0.5s, then nearly 200kW can be supplied.

Fig. 10. Output power of the flywheel energy storage.

4.2 Flywheel dynamics

The Flywheel dynamics can be given as:

$$T = J \frac{d\omega}{dt} + K_D \omega + K_C \quad (58)$$

Where J is the combined inertia of rotor PMSM and Flywheel, K_D is the friction coefficient, K_C is the Coulomb friction and T is the resultant torque. To obtain parameters K_D and K_C an experimental deceleration (from 1500rpm to 0rpm) test was made (Fig. 11).

From Fig. 11, the flywheel parameters $K_D=0.01\text{Nms}$ and $K_C=1.04\text{Nms}$ can be obtained.

Fig. 11. Experimental results of flywheel deceleration.

In the project, design, construction and assembly in the laboratory were considered the following factors:

- Equilibrium of the moving parts for maximum angular speed of 2500rpm;
- Possibility of connecting several types of electric machines (Fig. 12);
- The vertical assembly was selected (Fig. 9);
- For security reasons, the flywheel is enclosed in another steel tube (Fig. 9);
- The wheel has a modular structure allowing easy assembly, disassembly and transportation;
- The flywheel total weight is about 350kg.

4.3 Electric drive

The Permanent Magnet Synchronous Motor (PMSM), manufacturer *Siemens*, model 1FK6063 – 6AF71 – 1AA0 (Fig. 12), has the following parameters:

- Rated speed: 3000rpm;
- Machine pole-pair number: 3
- Rated torque: 6Nm;
- Rated current: 4.7A
- Inertia of rotor: $16.1 \times 10^{-4} \text{kgm}^2$
- Torque constant: 1.39Nm/A
- Stator resistance: 0.83Ω
- Rotating field inductance: 6.5mH
- Incremental encoder with 2048ppr

Fig. 12. View of the PMSM machine.

4.4 Matrix converter

The AC-AC Matrix Converter, supplying the machine, was built using the Eupec ECONOMAC matrix module (Fig. 13.a). As an example, Fig. 13.b shows one of the IGBT's drivers. The matrix converter input filter (Fig. 5) has the following parameters: $r_a=r_b=r_c=25\Omega$; $l_a=l_b=l_c=6.5\text{mH}$ and $C_a=C_b=C_c=3\times 6.8\mu\text{F}$. The matrix output inductor (Fig. 2) has the following parameters: $R_A=R_B=R_C=0.1\Omega$ and $L_A=L_B=L_C=10\text{mH}$ [13]. The critical load and filter (Fig. 2) has parameters are: $R_1=R_2=R_3=100\Omega$, $L_1=L_2=L_3=10\text{mH}$ and $C_{L1}=C_{L2}=C_{L3}=5\mu\text{F}$.

Fig. 13. a) The Eupec ECONOMAC matrix module; b) View of the gate driver printed circuit board.

5. DVR simulations results

The matrix converter with input filter, PMSM, voltage source, series transformers, critical load and the real-time predictive optimal controller were simulated in the *Matlab/Simulink* environment to evaluate the performance of the proposed predictive optimal matrix converter based DVR.

The DVR with the matrix predictive controller is applied to compensate critical load voltage sags and swells. Two different tests were considered. In test 1, mitigation of balanced and unbalanced voltage sags is evaluated. In test 2 the performance of the matrix DVR is demonstrated for balanced and unbalanced voltage swells. The total harmonic distortion (THD) of the critical load voltage is also evaluated.

5.1 DVR response to voltage sags

In the first test for balanced sags, it is assumed that there is a 40% three-phase voltage sag in the supply voltage, initiated at 0.6s and lasting 0.08s. For unbalanced sags, 20% (phase 1) and 40% (phase 2) voltage dip is considered.

Fig. 14 (balanced sag v_{s123}) and Fig. 15 (unbalanced sag v_{s123}) show the result of the voltage sag compensation using the predictive optimal matrix converter control. The serial injected voltage components (v_{p123}) compensate the critical load voltage (v_{CL123}) without showing delays, voltage undershoots or overshoots. This illustrates the fast response of the predictive optimal controllers and the enhanced controllability of the matrix converter since their input-output interdependency does not disturb the critical load voltages, which are maintained balanced and at their nominal value (400V).

Fig. 14. Simulation result of DVR response to balanced voltage sag: Supply voltages (v_1, v_2, v_3), Injected voltage (v_{p1}, v_{p2}, v_{p3}) and Load voltage ($v_{CL1}, v_{CL2}, v_{CL3}$).

Fig. 15. Simulation result of DVR response to unbalanced voltage sag: Supply voltages (v_1, v_2, v_3), Injected voltage (v_{p1}, v_{p2}, v_{p3}) and Load voltage ($v_{CL1}, v_{CL2}, v_{CL3}$).

5.2 DVR response to voltage swells

In the second test, the DVR performance for a voltage swell condition is investigated. A balanced voltage swell with 40% three-phase voltage increase, which starts at 0.6s and ends at 0.68s, is considered. For unbalanced swells, 50% (phase 1) and 20% (phase 2) voltage swell is considered. The performance of DVR is illustrated in Fig. 16 (balanced swell) and Fig. 17 (unbalanced swell).

Fig. 16. Simulation result of DVR response to balanced voltage swell: Supply voltages (v_1, v_2, v_3), Injected voltage (v_{p1}, v_{p2}, v_{p3}) and Load voltage ($v_{CL1}, v_{CL2}, v_{CL3}$).

Again, the DVR injected voltage components (v_{p123}) compensate the critical load voltage (v_{CL123}) without showing any delays, voltage undershoots or overshoots. The DVR is able to correct the voltage swells showing response times far lower than the voltage supply period.

Fig. 17. Simulation result of DVR response to unbalanced voltage swell: Supply voltages (v_1 , v_2 , v_3), Injected voltage (v_{p1} , v_{p2} , v_{p3}) and load voltage (v_{CL1} , v_{CL2} , v_{CL3}).

Fig. 18. Matrix input currents (i_{ia} , i_{ib} , i_{ic}).

Fig. 18 present the matrix input currents (i_{ia} , i_{ib} , i_{ic}). Simulations show near sinusoidal input currents.

5.3 Critical load voltage THD

Fig. 19 presents the frequency spectrum of the critical load voltage. Besides the tracking capabilities of the predictive optimal control method, it presents a very useful characteristic, the very low harmonic content of critical load voltage. The spectrum shows the fundamental frequency at 50Hz (100%) and some very low amplitude (<1%) harmonics. The critical load voltage THD is less than 1%.

Fig. 19. Load voltage spectrum (v_{CL1}).

6. Conclusions

This Chapter has shown that predictive optimal control is a powerful control technique that will open new possibilities in the control of AC-AC matrix and other advanced power converters, as well as in the control of matrix driven permanent magnet synchronous motors. The predictive optimal control allows the control designer to overcome the matrix converter input/output coupling, easing the use of all the available converter vectors.

The presented predictive optimal control does not need any modulator. However, it achieves a very effective control of input and output currents and rotor speed. Therefore, the proposed technique is a good alternative for established control methods like hysteresis current control, sliding mode control and PWM control.

A flywheel energy storage system, including a Permanent Magnet Synchronous Machine driven by the predictive optimal controlled matrix converter was used as a DVR to mitigate voltage sags, swells and THD. It was pointed out that the proposed predictive optimal matrix converter control presents very good performance when used in dynamic voltage restorers to mitigate voltage sags, swells and THD at critical loads, without showing delays, voltage undershoots or overshoots.

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Energy Storage in the Emerging Era of Smart Grids

Edited by Prof. Rosario Carbone

ISBN 978-953-307-269-2

Hard cover, 478 pages

Publisher InTech

Published online 22, September, 2011

Published in print edition September, 2011

Reliable, high-efficient and cost-effective energy storage systems can undoubtedly play a crucial role for a large-scale integration on power systems of the emerging “distributed generation” (DG) and for enabling the starting and the consolidation of the new era of so called smart-grids. A non exhaustive list of benefits of the energy storage properly located on modern power systems with DG could be as follows: it can increase voltage control, frequency control and stability of power systems, it can reduce outages, it can allow the reduction of spinning reserves to meet peak power demands, it can reduce congestion on the transmission and distributions grids, it can release the stored energy when energy is most needed and expensive, it can improve power quality or service reliability for customers with high value processes or critical operations and so on. The main goal of the book is to give a date overview on: (I) basic and well proven energy storage systems, (II) recent advances on technologies for improving the effectiveness of energy storage devices, (III) practical applications of energy storage, in the emerging era of smart grids.

How to reference

In order to correctly reference this scholarly work, feel free to copy and paste the following:

Paulo Gambôa, J. Fernando Silva, S. Ferreira Pinto and Elmano Margato (2011). Predictive Optimal Matrix Converter Control for a Dynamic Voltage Restorer with Flywheel Energy Storage, *Energy Storage in the Emerging Era of Smart Grids*, Prof. Rosario Carbone (Ed.), ISBN: 978-953-307-269-2, InTech, Available from: <http://www.intechopen.com/books/energy-storage-in-the-emerging-era-of-smart-grids/predictive-optimal-matrix-converter-control-for-a-dynamic-voltage-restorer-with-flywheel-energy-stor>

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